Investigating Membership Inference Attacks under Data Dependencies

July 13th, 2023

<u>Thomas Humphries</u>, Simon Oya , Lindsey Tulloch, Matthew Rafuse, Ian Goldberg, Urs Hengartner, and Florian Kerschbaum



DAVID R. CHERITON SCHOOL OF COMPUTER SCIENCE



Membership Inference





Yeom et al.'s Membership Experiment



*where ~ denotes independent, identically distributed sampling

$$Adv = 2 \cdot Pr(adversary is correct) - 1$$

= $TPR - FPR$



Standard ML Models are Vulnerable to MIAs



IMAGE CREDIT: Yeom et al. Privacy Risk in Machine Learning: Analyzing the Connection to Overfitting (2018)







Bounds on MIAs

- The properties of DP allow certain bounds to be proven (under Yeom et al.'s experiment)
- Yeom et al.'s Bound 2018

$$Adv \le e^{\epsilon} - 1$$

• Erlingson et al.'s Bound 2019

$$Adv \le 1 - e^{-\epsilon}(1 - \delta)$$

• Current belief is that they are quite loose in practice.



The Gap Observed in the Literature



IMAGE CREDIT: Jayaraman and Evans - Evaluating Differentially Private Machine Learning in Practice (2019)



ML Privacy meter



IMAGE CREDIT: Murakonda and Shokri – ML Privacy Meter (2020)

- Data analyst provides model along with training and test data to get a risk score.
- Risk score is calculated by running state of the art MIAs on user provided data.



In Summary...

- ML models can be vulnerable to MIAs
- DP is a popular defense that gives provable bounds on MIAs
 - When samples are independent from the same distribution (IID assumption)
- Risks are generally thought to be much lower than the bound in practice



Our Contributions

We investigate prior membership experiments and provide a tighter bound under Yeom et al.'s experiment.



We construct a *generalized membership experiment* that addresses the weaknesses of previous experiments.

We evaluate the performance of off-the-shelf MIAs under our generalized membership experiment.

We show that dependencies have a strong influence on attack performance, surpassing the theoretical bounds of DP.



CURRENT MIA EXPERIMENTS



Strong Adversary Membership Experiment



L





L

Strong Adversary Membership Experiment

Strong Adversary Membership Experiment







Yeom et al.'s Membership Experiment





Under IID Assumption the Attackers are Similar

Strong Adversary Membership Experiment

Yeom et al.'s Membership Experiment



A DP bound on the strong adversary implies a bound on the MIA adversary



Tighter Bound

$$Adv \leq \frac{e^{\epsilon} - 1 + 2\delta}{e^{\epsilon} + 1}$$

Proof Sketch:

$$\begin{aligned} Adv &\leq max\{TPR - FPR\} \\ &= 1 - min\{FNR + FPR\} \\ &= 1 - \frac{2(1 - \delta)}{1 + e^{\epsilon}} \end{aligned}$$



The Composition Theorem for Differential Privacy



Comparing the Bounds



$$Adv \le e^{\epsilon} - 1 \tag{3}$$

$$Adv \leq 1 - e^{-\epsilon}(1 - \delta)$$
 (4)

$$Adv \le \frac{e^{\epsilon} - 1 + 2\delta}{e^{\epsilon} + 1} \tag{5}$$



What is wrong with Yeom et al.'s Membership Experiment?





Biased Data in ML

Research Track Paper

KDD '20, August 23-27, 2020, Virtual Event, USA

Check for updates

An Investigation of Why Overparameterization Exacerbates Spurious Correlations

Shiori Sagawa^{*1} Aditi Raghunathan^{*1} Pang Wei Koh^{*1} Percy Liang¹

Abstract

We study why overparameterization—increasing model size well beyond the point of zero training error—can hurt test error on minority groups despite improving average test error when there are spurious correlations in the data. Through simulations and experiments on two image datasets, we identify two key properties of the training data that drive this behavior: the proportions of majority versus minority groups, and the signal-to-noise ratio of the spurious correlations. We then analyze a linear setting and theoretically show how the inductive bias of models towards "memorizing" fewer examples can cause overparameterization



Man is to Computer Programmer as Woman is to Homemaker? Debiasing Word Embeddings

Tolga Bolukbasi¹, Kai-Wei Chang², James Zou², Venkatesh Saligrama^{1,2}, Adam Kalai² ¹Boston University, 8 Saint Mary's Street, Boston, MA ²Microsoft Research New England, 1 Memorial Drive, Cambridge, MA tolgab@bu.edu, kw@kwchang.net, jamesyzou@gmail.com, srv@bu.edu, adam.kalai@microsoft.com

Targeted Data-driven Regularization for Out-of-Distribution Generalization

Mohammad Mahdi Kamani, Sadegh Farhang, Mehrdad Mahdavi and James Z. Wang {mqk5591,smf5604,mzm616,jwang}@psu.edu The Pennsylvania State University, University Park, Pennsylvania

ABSTRACT

Due to biases introduced by large real-world datasets, deviations of deep learning models from their expected behavior on out-ofdistribution test data are worrisome. Especially when data come from imbalanced or heavy-tailed label distributions, or minority groups of a sensitive feature. Classical approaches to address these biases are mostly data- or application-dependent, hence are burdensome to tune. Some meta-learning approaches, on the other hand, aim to learn hyperparameters in the learning process using different objective functions on training and validation data. However, these methods suffer from high computational complexity and are not scalable to large datasets. In this paper, we propose a unified data-driven regularization approach to learn a generalizable model from biased data. The proposed framework, named as targeted data-driven regularization (TDR), is model- and dataset-agnostic. and employs a target dataset that resembles the desired nature of test data in order to guide the learning process in a coupled manner. We cast the problem as a bilevel optimization and propose an efficient stochastic gradient descent based method to solve it. The framework can be utilized to alleviate various types of biases in real-world applications. We empirically show, on both synthetic and real-world datasets the superior performance of TDR for resolving

1 INTRODUCTION

Drastically improving their performance, machine learning, and more distinctively, deep learning models, are becoming the main propulsion of technology in a variety of domains. Notwithstanding their success, they still suffer from different forms of biases in the training data distribution. Biases, regardless of their nature, cause a mismatch between training and testing data distributions, which leads to a poor out-of-distribution generalization performance of the model. Machine learning models inherit these biases due to the only objective of minimizing the empirical risk on the training data in their learning process. However, empirical risk by itself seems incapable of avoiding these biases in training data for better out-of-distribution generalization, and needs to be accompanied by other objectives [35].

These biases can appear in different forms in training a machine learning model. A palpable form of them happens when the size of different classes or groups are unbalanced. When class sizes are not balanced, the imbalanced dataset problem stems [9, 24, 44], where majority classes' distribution can dominate the training process, resulting in a model with low accuracy on minority classes. A severe form of imbalanced dataset problem, appears in most realworld hir datasets with immense number of classes is long-tailed

Gender Shades: Intersectional Accuracy Disparities in Commercial Gender Classification*

Joy Buolamwini

MIT Media Lab 75 Amherst St. Cambridge, MA 02139

Timnit Gebru

TIMNIT.GEBRU@MICROSOFT.COM

JOYAB@MIT.EDU

Microsoft Research 641 Avenue of the Americas, New York, NY 10011

Editors: Sorelle A. Friedler and Christo Wilson

Abstract

DP under non-IID data

	Daniel Kifer Penn State University dan+sigmod11@cse.psu.edu	Ashwin Machanavajjhala Yahoo! Research mvnak@yahoo-inc.com	
	The VLDB Journal (2014) 23:653–676 DOI 10.1007/s00778-013-0344-8		
ABSTR	REGULAR PAPER		
Differentia preserving It guarant changes we ple. It is fi it provides and that i record. In tections of	Correlated network Rui Chen · Benjamin C. M. Fu Philip S. Yu · Bipin C. Desai	data publication via differential pr	ivacy
privacy as privacy an the data a tions are r preserved tacker abo generating ference ab participati between p the tuple participati	Received: 29 November 2012 © Springer-Verlag Berlin Hei Abstract With the incr networks, research on priv	IEEE TRANSACTIONS ON INFORMATION FORENSICS AND SECURITY, V Correlated Differen Information in Tianqing Zhu, Ping Xiong, Gang Li, Senior M	ol. 10, NO. 2, FEBRUARY 2015 ential Privacy: Hid Non-IIID Data Set Member, IEEE, and Wanlei Zhou, Senior
Diffe	Paul Cuff Princeton University	Mutual Information Constrain Langing Yu Princeton University	erable research interest ivacy is to release agree g the privacy of individu nial privacy has becom helpful in constructing of 1]. Ifferential privacy has d PPDR research comm d on independent datase dently sampled from a dataset often exhibits si are often correlated w extra-information than
			res that delet However, in a ting with oth

IMAGE CREDIT: Tschantz et al. - SoK: Differential Privacy as a Causal Property (2020)

Coupled-Worlds Privacy: Exploiting Adversarial Uncertainty in Statistical Data Privacy

Raef Bassily*, Adam Groce[†], Jonathan Katz[†], and Adam Smith* *Computer Science and Engineering Department Pennsylvania State University, State College, Pennsylvania Email: rbb20@psu.edu, asmith@cse.psu.edu [†]Department of Computer Science University of Maryland, College Park, Maryland

Journal of Privacy and Confidentiality (2014) 6, Number 1, 1–16

On the 'Semantics' of Differential Privacy: A Bayesian Formulation

Shiva Prasad Kasivisiwanathan* and Adam Smith

1 Introduction

Privacy is an increasingly important aspect of data publishing. Reasoning about privacy, however, is fraught with pitfalls. One of the most significant is the auxiliary information (also called external knowledge, background knowledge, or side information) that an adversary gleans from other channels such as the web, public records, or domain knowledge. Schemes that retain privacy guarantees in the presence of independent releases are said to compose securely. The terminology, borrowed from cryptography (which borrowed, in turn, from software engineering), stems from the fact that schemes that compose securely can be designed in a stand-alone fashion without explicitly taking other releases into account. Thus, understanding independent releases is essential for enabling modular design. In fact, one would like schemes that compose securely not only with independent instances of themselves, but with arbitrary external knowledge.

Certain randomization-based notions of privacy (such as differential privacy, due to Dwork, McSherry, Nissim, and Smith [7]) are viewed as providing meaningful guarantees even in the presence of arbitrary side information. In this paper, we give a precise formulation of this statement. First, we provide a Bayesian formulation of "pure" differential privacy which explicitly models side information. Second, we prove that the relaxed definitions of Blum et al. [2], Dwork et al. [6] and Machanavajihala et al. [14] imply the Bayesian formulation. The proof is non-trivial, and relies on the "continuity" of Bayes' rule with respect to certain distance measures on probability distributions. Our result means that techniques satisfying the relaxed definitions can be used with the same sort of assurances as in the case of pure differentially-private algorithms, as long as parameters are set appropriately. Specifically, (ϵ, δ) -differential privacy provides



Relaxing the IID Assumption:





Relaxing the IID Assumption:

How to sample $S \sim \mathbb{D}$

- Choose a subpopulation at random (e.g., •
- Sample S from _____



$\mathbb{D} = \{D_1, D_2, \dots, D_K\}$



Generalized Membership Experiment

$$\mathbb{D} = \{D_1, D_2, \dots, D_K\}$$





Questions About This Experiment

Is there a provable bound on the attack by DP learning?

- Yes, but
- No longer bounded by the strong adversary experiment.
- It requires noise proportional to the size of the data set: $n{\cdot}\epsilon$
 - A tighter bound may exist

Could the adversary use the distribution information in attack?

- Yes, but
- In practice, the mixture components may have overlapping support
 - The $n \cdot \epsilon$ -DP bound would still hold
- The distribution information could be removed in a further refinement



EMPIRICAL EVALUATION

Experimental Setup

- We use the source code from Jayaraman and Evans (only RDP)
- Off the shelf ML datasets (e.g. UCI ML Repository)



Unmodified MIA Attacks – Yeom et al.'s Threshold Attack

• *Idea:* the model will have a lower loss on members of the training set.





Unmodified MIA Attacks – Shokri et al.'s Shadow Model Attack

• Train *k* **shadow models** $f_{s1}, ..., f_{sk}$ (same classification task as the target model).



Unmodified MIA Attacks – Shokri et al.'s Shadow Model Attack

Train a new **attack model** f_{att} to predict the "membership status" from "confidence scores, true label"





Simulating the IID Case





Inherit Dependencies- Hospital Data

Each mixture component corresponds to a single hospital



Fig. 6: Performance of the optimal threshold attack in the heart dataset when members belong to a particular database (hospital/institution), and non-members are taken from all other databases



Recall:

- We used unmodified membership inference attacks.
 - The attack has no background information on the distribution of members and non-members
- We used real-world data sets from the web, standard machine learning training
 Clearly: The attacks exceed the bound for non-iid data

Conclusion: Differential Privacy does not protect as expected





Inherit Dependencies- Texas Hospital Data

 D_1 = Hospitals in region 3 D_2 = All other regions



Fig. 8: Results in texas dataset when members are from hospitals in region 3, and non-members are from any other region.



Inherit Dependencies- Census Data

 D_1 = Census Dataset D_2 = Adult Dataset



Fig. 9: Results when training a model with census, where non-members are from adult dataset.



WORST CASE EVALUATION

K-Means Split





Cluster Split - Adult





The Gap Observed in the Literature - Revisited



(b) Yeom et al. membership inference

IMAGE CREDIT: Jayaraman and Evans - Evaluating Differentially Private Machine Learning in Practice (2019)



Conclusions

- We provide a more general membership experiment.
- We have shown that off-the-shelf attacks can break the bounds of DP.
- Data dependencies can cause much higher privacy leakage than previously reported.
- The IID assumption is an integral component of past results upholding the integrity of DPML at high epsilon
 - Tools such as ML privacy meter do not give the full picture

"Data dependencies should be taken into account when studying MIA performance, as they are a realistic assumption that, if ignored, can lead to a significant underestimation of the privacy risk that MIAs pose".



UNIVERSITY OF WATERLOO



THANK YOU!